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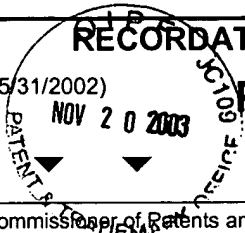
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Atty Docket: 31894-192403

To the Honorable Commissioner of Patents and Trademarks: Please Record the attached original documents or copy thereof.

1. Name of conveying party(ies):  
The University of Tennessee Research Corporation

Additional name of conveying party(ies) attached? ☐ Yes ☒ No

2. Name and address of receiving party(ies)

Name: The University of Tennessee Research Foundation

Internal Address: \_\_\_\_\_

Street Address: 1534 White Avenue

Suite 403

City: Knoxville State/Country: TN

Zip: 37996-1527

Additional Name(s) & address(es) attached? ☐ Yes ☒ No

3. Nature of conveyance:

☐ Assignment

☐ Merger

☐ Security Agreement

☒ Change of Name

☐ Other \_\_\_\_\_

Execution Date: \_\_\_\_\_

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application by the first named inventor is: \_\_\_\_\_

A. Patent Application No.(s)

10/066,057

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☐ No

5. Name and address of party to whom correspondence concerning this document should be mailed:

**26694**

PATENT TRADEMARK OFFICE

Name: VENABLE

Address: P.O. Box 34385

City: Washington

State: D.C.

Zip: 20043-9998

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41) . . . . . \$ 40.00

☐ Enclosed

☒ Authorized to be charged to deposit account

8. Deposit account number:

22-0261

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*To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.*

Michael A. Gollin (Reg. 31,957)

Name of Person Signing

Signature

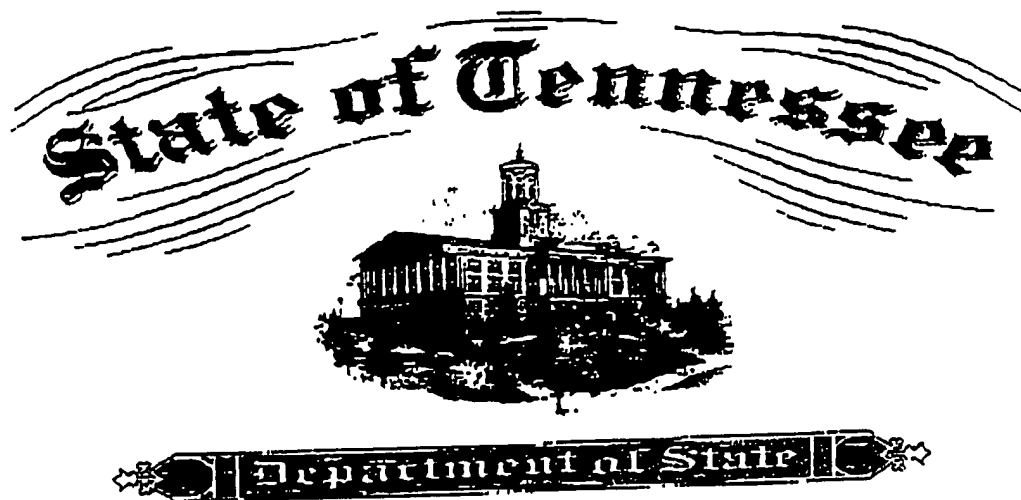
November 20, 2003

Date

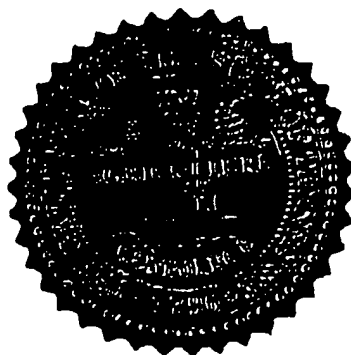
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I, RILEY C. DARNELL, Secretary of State of the State of Tennessee, do hereby  
certify that the Articles of Amendment to the Charter of  
THE UNIVERSITY OF TENNESSEE RESEARCH CORPORATION  
were filed in this office on April 3, 2003, changing the name to:  
UNIVERSITY OF TENNESSEE RESEARCH FOUNDATION

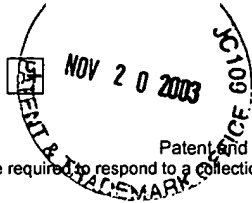


In Witness Whereof, I have hereto affixed my  
signature and the Great Seal of the State, at  
Nashville, this 14<sup>th</sup> day of May  
In the year of our Lord two thousand three

Riley C. Darnell  
Secretary of State  
by Deborah S. Chaney

SS-4449

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Revised PTO/SB/82 (10-00)

Approved for use through 10/31/2002. OMB 0651-0035

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Attorney Docket No. 31894-192403

<b>REVOCATION OF POWER OF ATTORNEY OR AUTHORIZATION OF AGENT</b>	<b>Application Number</b>	10/066,057
	<b>Filing Dat</b>	January 31, 2002
	<b>First Named Inventor</b>	Michael B. ZEMEL et al.
	<b>Group Art Unit</b>	1617
	<b>Examiner Name</b>	WEBMAN, EDWARD J.
	<b>Attorney Docket Number</b>	31894-192403

I hereby revoke all previous powers of attorney or authorizations of agent given in the above-identified application:

☐ A Power of Attorney or Authorization of Agent is submitted herewith.

**OR**

☒ Please change the correspondence address for the above-identified application to:

☒ Customer Number 26694 →

**\*26694\***  
**26694**

**OR**

<input checked="" type="checkbox"/> Firm or Individual Name	VENABLE				
Address	P.O. Box 34385				
Address					
City	Washington				
Country	U.S.A.	State	D.C.	ZIP	20043-9998
Telephone	(202) 344-4800	Fax	(202) 344-8300		

I am the:

☐ Applicant/Inventor.

☒ Assignee of record of the entire interest. See 37 CFR 3.71.  
*Certificate under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/96)*

**SIGNATURE of Applicant or Assignee of Record**

Name	Fred D. Tompkins
Signature	
Date	11/14/03

NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required, see below\*.

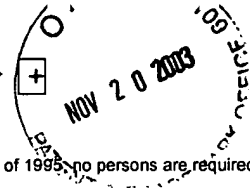
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Attorney Docket No. 31894-192403

**POWER OF ATTORNEY OR  
AUTHORIZATION OF AGENT**

Application Number	10/066,057
Filing Date	January 31, 2002
First Named Inventor	Michael B. ZEMEL et al.
Group Art Unit	1617
Examiner Name	WEBMAN, EDWARD J.
Attorney Docket Number	31894-192403

I hereby appoint:

☒ Practitioners at Customer Number

26694

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☐ Practitioner(s) named below:

Name	Registration Number

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OR

<input checked="" type="checkbox"/> Firm or Individual Name	VENABLE				
Address	P.O. Box 34385				
Address					
City	Washington	State	D.C.	ZIP	20043-9998
Country	U.S.A.				
Telephone	(202) 344-4800	Fax	(202) 344-8300		

I am the:

☐ Applicant.

☒ Assignee of record of the entire interest. See 37 CFR 3.71.

*Certificate under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/96).*

**SIGNATURE of Applicant or Assignee of Record**

Name	Fred D. Tompkins
Signature	
Date	11/14/03

NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required, see below\*.

☒ \*Total of 1 forms are submitted.

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PC Docs No. 496580

# **STATEMENT UNDER 37 CFR 3.73(b)**

Applicant/Patent Owner: University of Tennessee Research Foundation

Application No./Patent No.: 10/066,057

Filed/Issue Date: January 31, 2002

Entitled: MATERIALS AND METHODS FOR THE TREATMENT OR PREVENTION OF OBESITY

University of Tennessee Research Foundation, a Non-Profit Corporation

(Name of Assignee)

(Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

- ☒ the assignee of the entire right, title, and interest; or
- ☐ an assignee of an undivided part interest

in the patent application/patent identified above by virtue of either:

- A. ☒ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the Patent and Trademark Office at Reel 012601, Frame 0916, to the University of Tennessee Research Corporation, which changed its name to the University of Tennessee Research Foundation on April 3, 2003, pursuant to the attached copy of the name change certification from the State of Tennessee, or for which a copy thereof is attached.

OR

- B. ☐ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as shown below:

1. From: \_\_\_\_\_ To: \_\_\_\_\_  
The document was recorded in the United States Patent and Trademark Office at Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.

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☐ Additional documents in the chain of title are listed on a supplemental sheet.

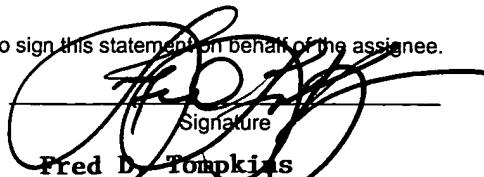
☐ Copies of assignments or other documents in the chain of title are attached.

**[NOTE:** A separate copy (i.e., the original assignment document or a true copy of the original document) must be submitted to Assignment Division in accordance with 37 CFR Part 3, if the assignment is to be recorded in the records of the USPTO. See MPEP 302.8]

The undersigned (whose title is supplied below) is empowered to sign this statement on behalf of the assignee.

11/14/03

Date

  
Signature

Fred D. Tompkins

Typed or printed name

**Interim Executive Director**

Title

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